



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 046982/0114

Applicant: Shigeki KATAYAMA
Title: COLOR IMAGE PROCESSOR
Serial No.: 09/610,662
Filed: July 5, 2000
Examiner: Unassigned
Art Unit: ~~2714~~ 2614

RECEIVED

NOV 28 2003

Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Submitted herewith on Form PTO-SB08 is a list of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any documents which is determined to be a prima facie prior art reference against the claims of the present application.



TIMING OF THE DISCLOSURE

The instant Information Disclosure Statement is believed to be filed in accordance with 37 C.F.R. 1.97(b), prior to the mailing date of a first Office Action on the merits (first scenario). If that is not the case, such as in a second scenario in which a first Office Action on the merits has been mailed before the filing of the instant Information Disclosure Statement, then either a certification or fee is required, and a certification is provided below. If neither of the first or second scenarios is the case, such as if a final Office Action or a notice of allowance has been mailed by the PTO (third scenario), then both a certification and fee are required, and in that case a certification is provided below and also the PTO is authorized to obtain the necessary fee to have the instant IDS considered, from Foley & Lardner Deposit Account #19-0741.

CERTIFICATION

The undersigned hereby certifies in accordance with 37 C.F.R. §1.97(e)(1) that items of information A3, A4 and A5 listed on the Form PTO SB/08 submitted with this Information Disclosure Statement were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement. Items of information A1 and A2 are U.S. patents that are counterparts to items of information A4 and A3, respectively.

RELEVANCE OF EACH DOCUMENT

A translation of a portion of a Japanese Office Action that issued August 29, 2003 with respect to a counterpart Japanese patent application is provided below.

“Claims 1 through 2 and 4 through 5
Cited Literature 1 through 2

Cited Literature 1 describes the art of performing UCR for a color printing device (cf. page 5, left column, line 17 through page 5, left column, line 22).

Cited Literature 2 describes the art of performing 100% UCR for characters which are non-image forms (cf. page 4, left column line 27 through page 4, left column, line 35).

Methods of converting from RGB color system to CMYK color system are no more than a design matter.

There is no difficulty in applying the UCR processing for characters of Cited Literature 2 to the UCR processing of Cited Literature 1.

Claim 3
Cited Literature 1 through 3

Cited Literature 3 (cf. Figure 6) describes the same sort of BG/UCR table as the invention described in Claim 3.

List of Cited Literature

1. Japanese Unexamined Patent Application Publication H10-51651
2. Japanese Unexamined Patent Application Publication H10-271327
3. Japanese Unexamined Patent Application Publication H10-233928

Record of Prior Art Literature Search Results

Fields searched - H04N - 1/46-1/48
H04N - 1/52
H04N - 1/60

This Record of Prior Art Literature Search Results does not constitute a reason for rejection."

Applicant's statements regarding the Japanese Office Action are based on a partial translation that Applicant's representative obtained. These statements should in no way be considered as an agreement by Applicant with, or an admission of, which is asserted in the Japanese Office Action.

Applicant respectfully request that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form-SB08 be returned in accordance with MPEP §609.

Respectfully submitted,

November 26, 2003
Date

Phillip J. Articola
Phillip J. Articola
Registration No. 38,819

FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109
Telephone: (202) 672-5300
Facsimile: (202) 672-5399